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11/09/01
10/053329

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 C.F.R. 1.53(b))

Attorney Docket No. 11738.00050

First Inventor Michael Baudino

Title Techniques For Selective Activation Of Neurons In The Brain, Spinal Cord Parenchyma Or Peripheral Nerve

Express Mail Label No. EL929162534US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g., PTO/SB/17)
(Submit an original and a duplicate for fee processing)

2. Applicant claims small entity status.
See 37 CFR 1.27.

3. Specification [Total Pages 31]
(preferred arrangement set forth below)
- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to sequence listing, a table, or a computer program listing appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

4. Drawing(s) (35 U.S.C. 113) [Total Sheets 19]

5. Oath or Declaration [Total Pages 2] 1
a. Newly executed (original or copy)
b. Copy from a prior application (37 CFR 1.63 (d))
(for a continuation/divisional with Box 18 completed)
i. DELETION OF INVENTOR(S)
Signed statement attached deleting inventor(s)
named in the prior application, see 37 CFR
1.63(d)(2) and 1.33(b).

6. Application Data Sheet. See 37 CFR 1.76

ADDRESS TO: Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

7. CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)

8. Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
a. Computer Readable Form (CRF)
b. Specification Sequence Listing on:
i. CD-ROM or CD-R (2 copies); or
ii. paper
c. Statements verifying identity of above copies

ACCOMPANYING APPLICATIONS PARTS

9. Assignment Papers (cover sheet & document(s))

10. 37 C.F.R. §3.73(b) Statement Power of Attorney (when there is an assignee)

11. English Translation Document (if applicable)

12. Information Disclosure Statement (IDS)/PTO-1449 Copies of IDS Citations

13. Preliminary Amendment

14. Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

15. Certified Copy of Priority Document(s)
(if foreign priority is claimed)

16. Request and Certification under 35 U.S.C. 122 (b)(2)(B)(i). Applicant must attach form PTO/SB/35 or its equivalent.

17. Other: _____

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

Continuation Divisional Continuation-in-part (CIP) of prior application No. 09 / 302,519
Prior application information: Examiner W. Kamm Group / Art Unit. 3762

For CONTINUATION or DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b, is considered a part of the disclosure of the accompanying or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

17. CORRESPONDENCE ADDRESS

22908

or Correspondence address below

(Insert Customer No. or Attach bar code label here)

<input checked="" type="checkbox"/> Customer Number or Bar Code Label		22908			
or <input checked="" type="checkbox"/> Correspondence address below					
Name	Banner & Witcoff				
	Binal J. Patel				
Address	Ten South Wacker Drive				
	Suite 3000				
City	Chicago	State	IL	Zip Code	60606
Country	USA	Telephone	312-715-1000	Fax	312-715-1234

Name (Print/Type)	Binal J. Patel	Registration No. (Attorney/Agent)	42,065
Signature			Date
			November 9, 2001

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.

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FEE TRANSMITTAL for FY 2002

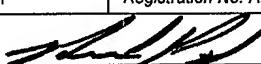
Patent fees are subject to annual revision.

TOTAL AMOUNT OF PAYMENT (\$ 1526)

Complete if Known	
Application Number	n/a
Filing Date	November 9, 2001
First Named Inventor	Michael Baudino
Examiner Name	
Group / Art Unit	
Attorney Docket No.	11738.00050

METHOD OF PAYMENT (check one)				FEE CALCULATION (continued)			
1. <input checked="" type="checkbox"/> The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:				3. ADDITIONAL FEES			
Deposit Account Number 01-0850				Large Entity Fee Code 105 130	Small Entity Fee Code 205 65	Fee Description Surcharge - late filing fee or oath Surcharge - late provisional filing fee or cover sheet.	
Deposit Account Name Banner & Witcoff				Large Entity Fee Code 127 50	Small Entity Fee Code 227 25	Non-English specification For filing a request for reexamination	
<input checked="" type="checkbox"/> Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17 <input type="checkbox"/> Applicant claims small entity status. See 37 CFR 1.27				Large Entity Fee Code 139 130	Small Entity Fee Code 139 130	Requesting publication of SIR prior to Examiner action	
2. <input type="checkbox"/> Payment Enclosed: <input type="checkbox"/> Check <input type="checkbox"/> Credit card <input type="checkbox"/> Money Order <input type="checkbox"/> Other				Large Entity Fee Code 147 2,520	Small Entity Fee Code 147 2,520	Requesting publication of SIR after Examiner action	
FEE CALCULATION				Large Entity Fee Code 112 920*	Small Entity Fee Code 112 920*	Extension for reply within first month	
1. BASIC FILING FEE				Large Entity Fee Code 113 1,840*	Small Entity Fee Code 113 1,840*	Extension for reply within second month	
Large Entity Small Entity				Large Entity Fee Code 115 110	Small Entity Fee Code 215 55	Extension for reply within third month	
Fee Fee Fee Fee Fee Description				Large Entity Fee Code 116 400	Small Entity Fee Code 216 200	Extension for reply within fourth month	
101 740 201 370 Utility filing fee 106 330 206 165 Design filing fee 107 510 207 255 Plant filing fee 108 740 208 370 Reissue filing fee 114 160 214 80 Provisional filing fee				Large Entity Fee Code 117 920	Small Entity Fee Code 217 460	Extension for reply within fifth month	
SUBTOTAL (1) (\$ 740)				Large Entity Fee Code 118 1,440	Small Entity Fee Code 218 720	Notice of Appeal Filing a brief in support of an appeal Request for oral hearing Petition to institute a public use proceeding Petition to revive – unavoidable Petition to revive – unintentional Utility issue fee (or reissue) Design issue fee Plant issue fee Petitions to the Commissioner Processing fee under 37 CFR 1.17 (q) Submission of Information Disclosure Stmt	
2. EXTRA CLAIM FEES				Large Entity Fee Code 128 1,960	Small Entity Fee Code 228 980		
Total Claims 45 -20 ** = 25 X 18 = 450				Large Entity Fee Code 119 320	Small Entity Fee Code 219 160		
Independent Claims 7 -3 ** = 4 X 84 = 336				Large Entity Fee Code 120 320	Small Entity Fee Code 220 160		
Multiple Dependent X = 0				Large Entity Fee Code 121 280	Small Entity Fee Code 221 140		
Large Entity Small Entity				Large Entity Fee Code 138 1,510	Small Entity Fee Code 138 1,510		
Fee Fee Fee Fee Fee Description				Large Entity Fee Code 140 110	Small Entity Fee Code 240 55		
103 18 203 9 Claims in excess of 20 102 84 202 42 Independent claims in excess of 3 104 280 204 140 Multiple dependent claim, if not paid ** Reissue independent claims over original patent				Large Entity Fee Code 141 1,280	Small Entity Fee Code 241 640		
109 84 209 42 ** Reissue claims in excess of 20 and over original patent				Large Entity Fee Code 142 1,280	Small Entity Fee Code 242 640		
SUBTOTAL (2) (\$ 786)				Large Entity Fee Code 143 460	Small Entity Fee Code 243 230		
				Large Entity Fee Code 144 620	Small Entity Fee Code 244 310		
				Large Entity Fee Code 122 130	Small Entity Fee Code 122 130		
				Large Entity Fee Code 123 50	Small Entity Fee Code 123 50		
				Large Entity Fee Code 126 180	Small Entity Fee Code 126 180		
				Large Entity Fee Code 581 40	Small Entity Fee Code 581 40		
				Large Entity Fee Code 146 740	Small Entity Fee Code 246 370		
				Large Entity Fee Code 149 740	Small Entity Fee Code 249 370		
				Large Entity Fee Code 179 740	Small Entity Fee Code 279 370	Request for Continued Examination (RCE)	
				Large Entity Fee Code 169 900	Small Entity Fee Code 169 900	Request for expedited examination of a design application	
				Other fee (specify) _____			
				*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$ 0)			

**or number previously paid, if greater. For Reissues, see above

SUBMITTED BY						Complete (if applicable)
Name (Print/Type)	Binal J. Patel	Registration No. Attorney/Agent)	42,065	Telephone	312-715-1000	
Signature					Date	November 9, 2001

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

CERTIFICATE OF MAILING
(NEW PATENT APPLICATION)

Express Mail No. EL929162534US

Deposited: November 9, 2001

I hereby certify that the attached correspondence, identified below, is being deposited with the United States Postal Service as "Express Mail Post Office to Addressee" under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Application, Commissioner for Patents, Washington, D.C. 20231.

By:



Application of: Baudino et al.

Application No.:

Filing Date: November 9, 2001

Title: Techniques For Selective Activation Of Neurons In The Brain, Spinal Cord Parenchyma Or Peripheral Nerve

Transmitted herewith are the following documents:

- Utility Patent Application Transmittal (1 page) in duplicate
- Fee Transmittal (1 page) in duplicate
- Application Data Sheet (4 pages)
- Specification (31 pages)
- Formal Drawings (19 pages) Figs. 1-23
- Executed Declaration from parent application (2 pages)
- Return Postcard

Attorney Case No.: 11738.00050